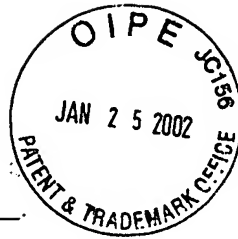
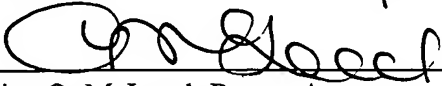


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Washington, D.C. 20231 on 1/3/02



0300  
Patent Application  
Docket No. SOE-100XC1  
Serial No. 10/002,562

  
Christine Q. McLeod, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Not yet assigned  
Applicant(s) : Mark van Soestbergen, Kent B. Tambling  
Serial No. : 10/002,562  
Filed : November 1, 2001  
For : Method and System for Banking and Exchanging Emission Reduction Credits

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



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CQM/gm  
Enclosures

Form PTO-1449  
(REV. 7-80)

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
SOE-100XC1SERIAL NO.  
10/002,562

APPLICANT(S): Mark van Soestbergen, Kent B. Tambling

FILING DATE  
November 1, 2001GROUP ART UNIT  
Not yet assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	7	8	7	4	0	2	7/28/98	Potter <i>et al.</i>			
	AB	5	8	8	7	5	4	7	3/30/99	Caveny <i>et al.</i>			
	AC	5	9	1	5	2	0	9	6/22/99	Lawrence			
	AD	5	9	2	4	0	8	3	7/13/99	Silverman <i>et al.</i>			
	AE	5	9	5	0	1	7	7	9/7/99	Lupien <i>et al.</i>			
	AF	5	9	6	3	9	2	3	10/5/99	Garber			
	AG	5	9	7	5	0	2	0	11/2/99	Caveny <i>et al.</i>			
	AH	5	9	9	5	9	4	7	11/30/99	Fraser <i>et al.</i>			
	AI	6	0	0	5	9	3	9	12/21/99	Fortenberry <i>et al.</i>			
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	AL												YES	NO
	AM													
	AN													
	AO													
	AP													

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ		
	AR		
	AS		

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.